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# MODELLING MODAL BEHAVIOUR

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## References

- Slides are from Edward A. Lee & Sanjit Seshia, UC Berkeley, EECS 149 Fall 2013
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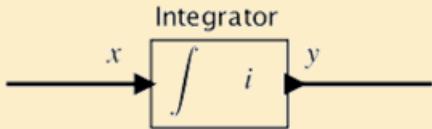
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## Recall Actor Model of a Continuous-Time System

- Example: integrator:



- Continuous-time signal:

$$x: \mathbb{R} \rightarrow \mathbb{R}, \quad x \in (\mathbb{R} \rightarrow \mathbb{R}), \quad x \in \mathbb{R}^{\mathbb{R}}$$

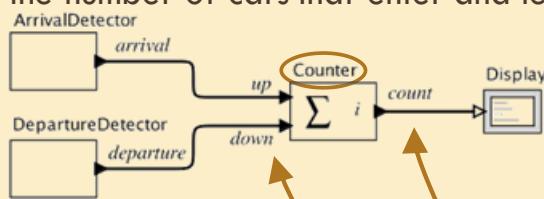
- Continuous-time actor, integrator

$$\mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}}$$

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## Discrete Systems

- Example: count the number of cars that enter and leave a parking garage:



Pure signals: either present or absent. No other intrinsic value in the present input.

- Pure signal:  $up: \mathbb{R} \rightarrow \{absent, present\}$   
 $down: \mathbb{R} \rightarrow \{absent, present\}$

- Discrete actor:

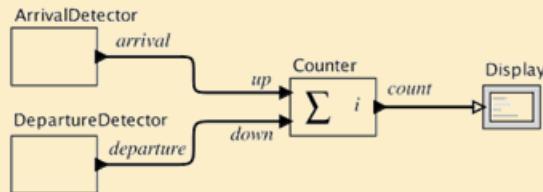
$$\text{Counter}: (\mathbb{R} \rightarrow \{absent, present\})^P \rightarrow (\mathbb{R} \rightarrow \{absent\} \cup \mathbb{N})$$

$$P = \{up, down\}$$

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## Reaction

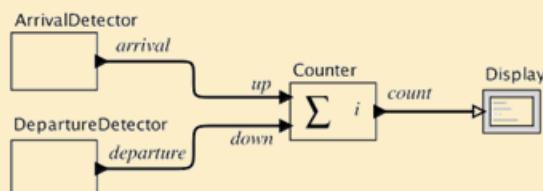
- For any  $t \in \mathbb{R}$  where  $\text{up}(t) \neq \text{absent}$  or  $\text{down}(t) \neq \text{absent}$  the Counter reacts. It produces an output value in  $\mathbb{N}$  and changes its internal state.



*Counter:  $(\mathbb{R} \rightarrow \{\text{absent}, \text{present}\})^P \rightarrow (\mathbb{R} \rightarrow \{\text{absent}\} \cup \mathbb{N})$*   
 $P = \{\text{up}, \text{down}\}$

## Inputs and Outputs at a Reaction

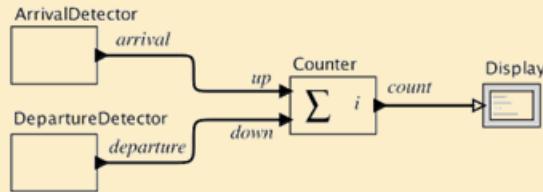
- For  $t \in \mathbb{R}$  the inputs are in a set  
 $\text{Inputs} = (\{\text{up}, \text{down}\} \rightarrow \{\text{absent}, \text{present}\})$
- And the outputs are in a set  
 $\text{Outputs} = (\{\text{count}\} \rightarrow \{\text{absent}\} \cup \mathbb{N})$



## State Space

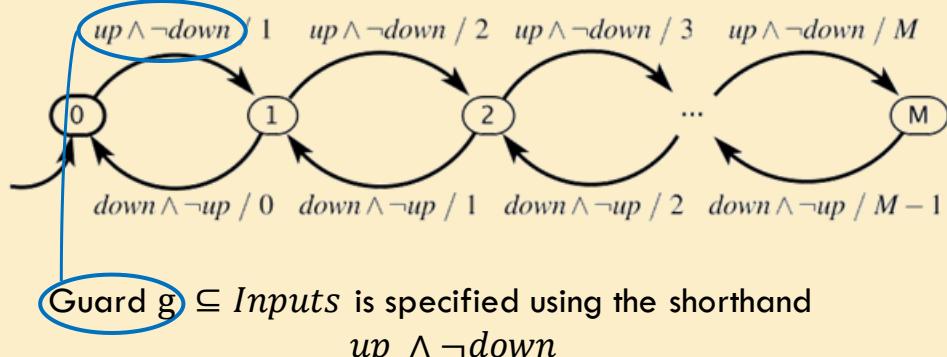
- A practical parking garage has a finite number  $M$  of spaces, so the state space for the counter is:

$$States = \{0, 1, 2, \dots, M\}$$



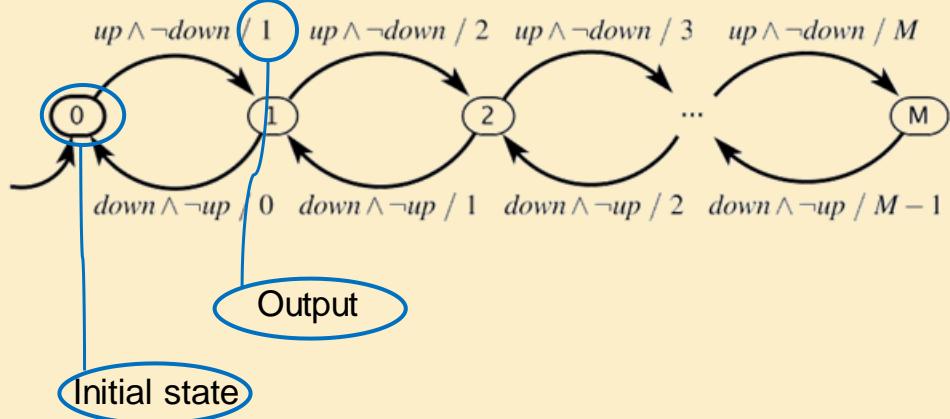
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## Garage Counter Finite State Machine (FSM)

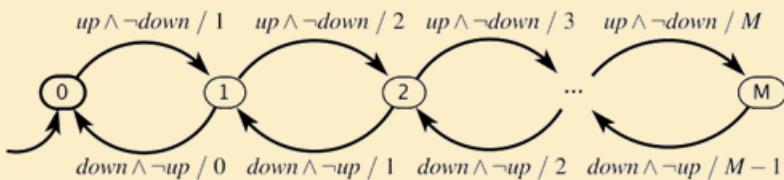


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## Garage Counter FSM



## Garage Counter Mathematical Model

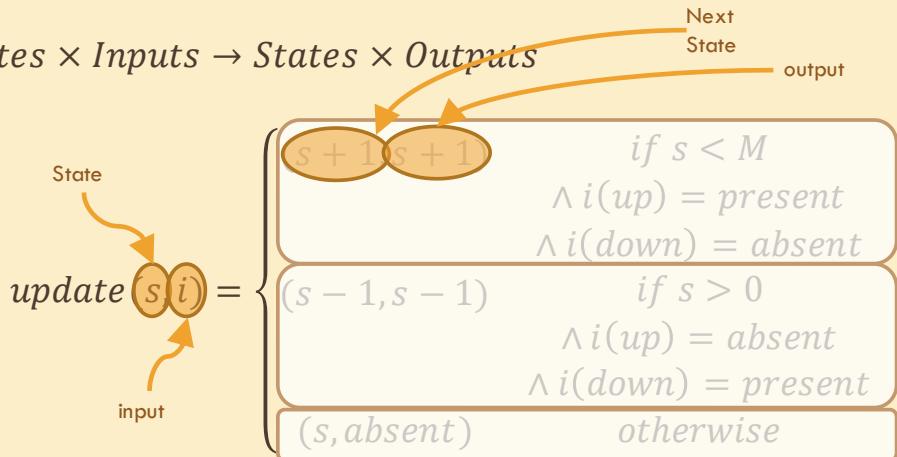


- Formally  $(\text{States}, \text{Inputs}, \text{Outputs}, \text{update}, \text{initialState})$ , where:
  - $\text{States} = \{0, 1, 2, \dots, M\}$
  - $\text{Inputs} = (\{up, down\} \rightarrow \{\text{absent}, \text{present}\})$
  - $\text{Outputs} = (\{\text{count}\} \rightarrow \{\text{absent}\} \cup \mathbb{N})$
  - $\text{Update}: \text{States} \times \text{Inputs} \rightarrow \text{States} \times \text{Outputs}$
  - $\text{initialState} = 0$

The picture above defines the update function.

## Update for garage

- $States \times Inputs \rightarrow States \times Outputs$

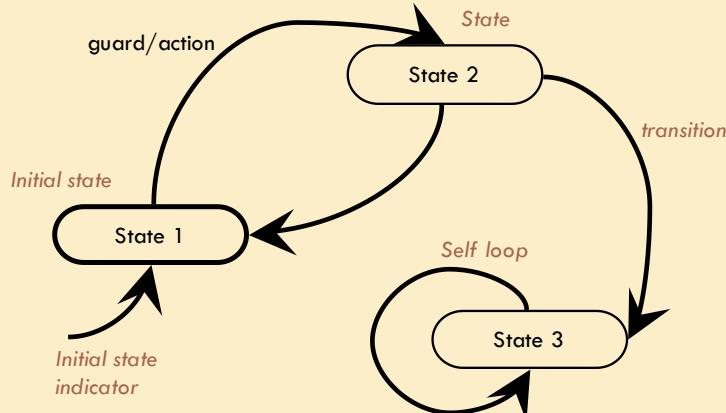


## Update for garage

- $States \times Inputs \rightarrow States \times Outputs$

$$update(s, i) = \begin{cases} (s + 1, s + 1) & \text{if } s < M \\ & \wedge i(\text{up}) = \text{present} \\ & \wedge i(\text{down}) = \text{absent} \\ (s - 1, s - 1) & \text{if } s > 0 \\ & \wedge i(\text{up}) = \text{absent} \\ & \wedge i(\text{down}) = \text{present} \\ (s, \text{absent}) & \text{otherwise} \end{cases}$$

## FSM Notation



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## Examples of Guards for Pure Signals

Pure signals: either present or absent. No other intrinsic value in the present input.

$true$	Transition is always enabled
$p_1$	Transition is enabled if $p_1$ is present
$\neg p_1$	Transition is enabled if $p_1$ is absent
$p_1 \wedge p_2$	Transition is enabled if both $p_1$ and $p_2$ are present
$p_1 \vee p_2$	Transition is enabled if either $p_1$ or $p_2$ are present
$p_1 \wedge \neg p_2$	Transition is enabled if $p_1$ is present and $p_2$ is absent

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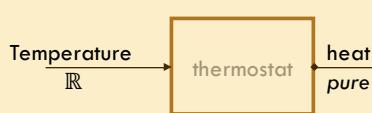
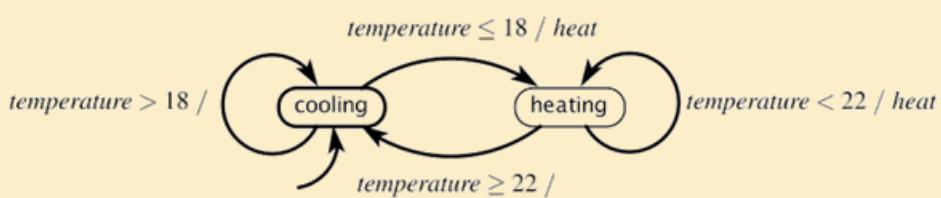
## Examples of Guards for Signals with Numerical Values

Numerical signals: the signal's value explicitly conveys a value different for each different input value.

$p_3$	Transition is enabled if $p_3$ is present (not absent)
$p_3 = 1$	Transition is enabled if $p_3$ is present and has value 1
$p_3 = 1 \wedge p_1$	Transition is enabled if $p_3$ has value 1 and $p_1$ is present
$p_3 > 5$	Transition is enabled if $p_3$ is present and has value greater than 5

## Example: Thermostat

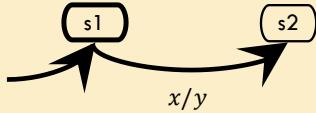
If temperature is less or equal than 18°C heat  
If temperature is greater or equal than 22°C let it cool



Use of hysteresis to avoid chattering

## When does a reaction occur?

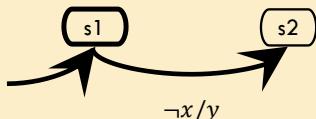
Input:  $x \in \{\text{present}, \text{absent}\}$   
 Output:  $y \in \{\text{present}, \text{absent}\}$



- Suppose all inputs are discrete and a reaction occurs when any input is present. Then the above transition will be taken whenever the current state is  $s_1$  and  $x$  is present.
- This is an **event-triggered** model.

## When does a reaction occur?

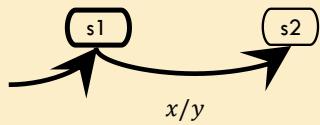
Input:  $x \in \{\text{present}, \text{absent}\}$   
 Output:  $y \in \{\text{present}, \text{absent}\}$



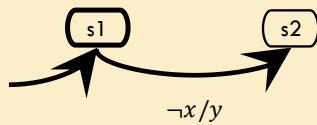
- Suppose  $x$  and  $y$  are discrete and pure signals. When does the transition occur?
  - *when the environment triggers a reaction and  $x$  is absent.*

## When does a reaction occur?

Input:  $x \in \{\text{present}, \text{absent}\}$   
 Output:  $y \in \{\text{present}, \text{absent}\}$

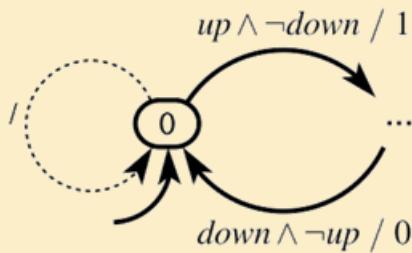


Input:  $x \in \{\text{present}, \text{absent}\}$   
 Output:  $y \in \{\text{present}, \text{absent}\}$



- Suppose all inputs are discrete and a reaction occurs on the tick of an external clock.
- This is a **time-triggered model**.

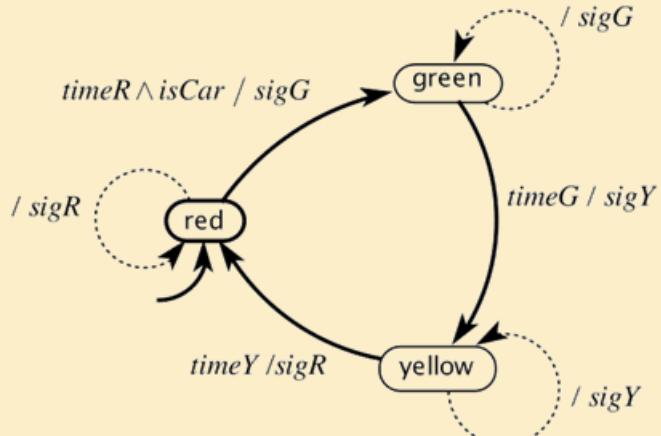
## More Notation: Default Transitions



- A default transition is enabled if no non-default transition is enabled and it either has no guard or the guard evaluates to true.
- When is the above default transition enabled?

## Default transitions

Only show default transitions if they are guarded or produce outputs

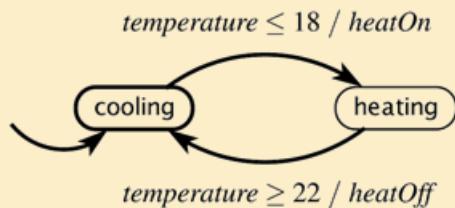


Example: Traffic Light Controller

## Default transitions II

- Example where default transitions need not be shown

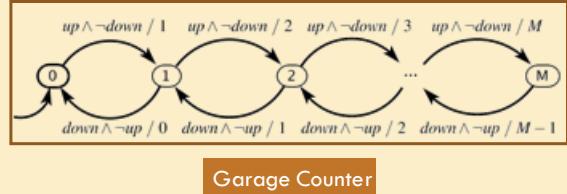
**input:**  $temperature : \mathbb{R}$   
**outputs:**  $heatOn, heatOff : \text{pure}$



- Time or event triggered?
- Formally write down the description of this FSM as a 5-tuple: (States, Inputs, Outputs, update, initialState)

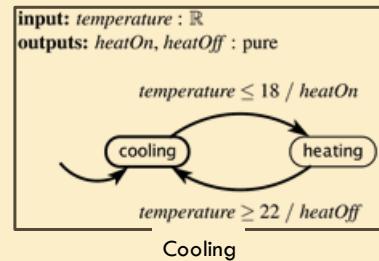
## Garage Counter and Cooling

$States = \{0, 1, 2, \dots, M\}$   
 $Inputs = (\{up, down\} \rightarrow \{absent, present\})$   
 $Outputs = (\{count\} \rightarrow \{absent\} \cup \mathbb{N})$   
 $Update: States \times Input \rightarrow States \times Outputs$   
 $initialState = 0$



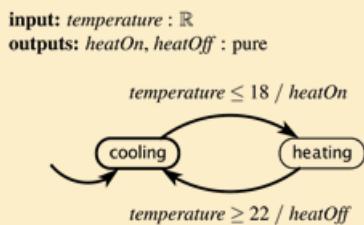
$$update(s, i) = \begin{cases} (s + 1, s + 1) & \text{if } s < M \\ & \wedge i(up) = present \\ & \wedge i(down) = absent \\ (s - 1, s - 1) & \text{if } s > 0 \\ & \wedge i(up) = absent \\ & \wedge i(down) = present \\ (s, absent) & \text{otherwise} \end{cases}$$

Garage Counter



## Cooling

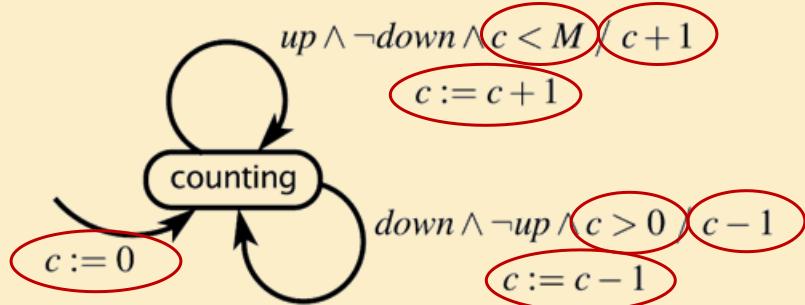
$States = \{cooling, heating\}$   
 $Inputs = (temperature \rightarrow \mathbb{R})$   
 $Outputs = (\{heatOn, HeatOff\} \rightarrow \{absent, present\})$   
 $Update: States \times Input \rightarrow States \times Outputs$   
 $initialState = cooling$



$$update(s, i) = \begin{cases} (heating, present, absent) & \text{if } s = cooling \\ & \wedge i(temperature) \leq 18 \\ (cooling, absent, present) & \text{if } s = heating \\ & \wedge i(temperature) \geq 22 \\ (s, absent, absent) & \text{otherwise} \end{cases}$$

## Extended State Machines

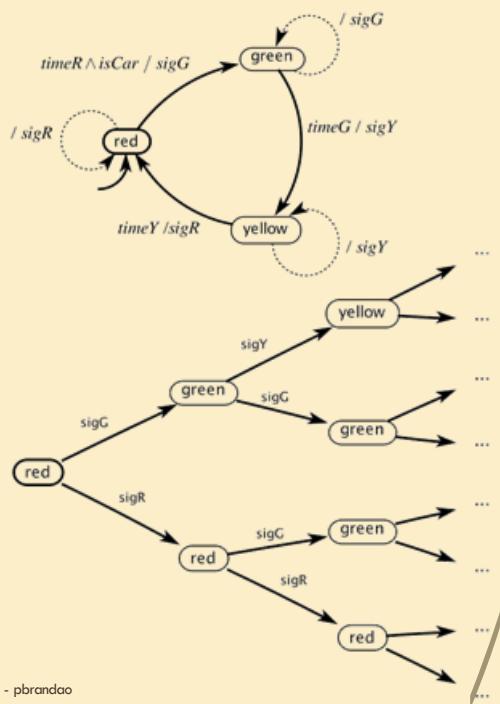
**variable:**  $c: \{0, \dots, M\}$   
**inputs:**  $up, down$ : pure  
**output:**  $count: \{0, \dots, M\}$



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## Behaviours and Traces

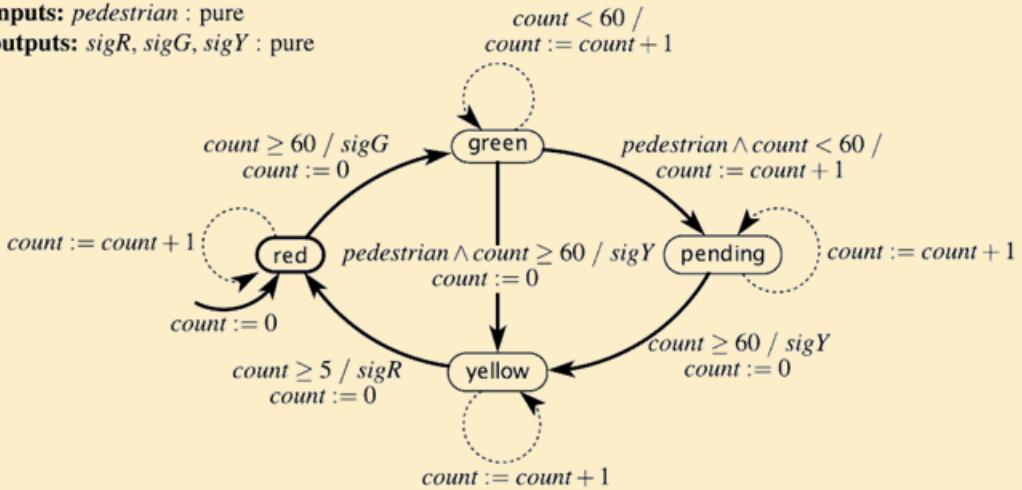
- FSM **behaviour** is a sequence of (non-stuttering) steps.
- A **trace** is the record of inputs, states, and outputs in a behaviour.
- A **computation tree** is a graphical representation of all possible traces.
- FSMs are suitable for formal analysis. For example, **safety** analysis might show that some unsafe state is not reachable.



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# Traffic Light Controller

**variable:**  $count : \{0, \dots, 60\}$   
**inputs:**  $pedestrian : \text{pure}$   
**outputs:**  $sigR, sigG, sigY : \text{pure}$



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# Definitions

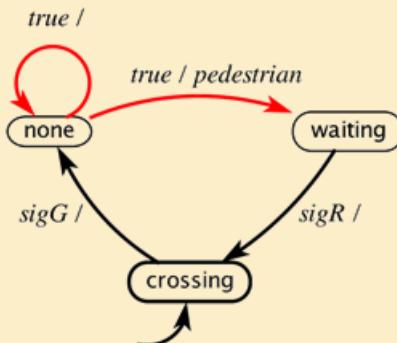
- **Stuttering transition:** (possibly implicit) default transition that is enabled when all inputs are absent, that does not change state, and that produces absent outputs.
- **Receptiveness:** For any input values, some transition is enabled, for each state. Our structure together with the implicit default transition ensures that our FSMs are receptive.
- **Determinism:** In every state, for all input values, exactly one (possibly implicit) transition is enabled.
  - Only one is enabled

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## Example: Non determinate FSM

- Model of the environment for the pedestrian arrival/presence, abstracted using nondeterminism:

**inputs:**  $sigR, sigG, sigY$  : pure  
**outputs:**  $pedestrian$  : pure



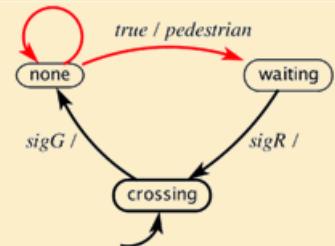
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## Example: Non determinate FSM

- Formally, the update function is replaced by an update relation that maps a (state, input) combination to a set of possible (next state, output) pairs.
- And there is a set of initial states
- Formally, the update function is replaced by a relation

$possibleUpdates: States \times Inputs \rightarrow 2^{States \times Outputs}$

## Example for the pedestrian



$States = \{none, waiting, crossing\}$   
 $Inputs = (\{sigG, sigY, sigR\} \rightarrow \{present, absent\})$   
 $Outputs = (\{\text{pedestrian}\} \rightarrow \{present, absent\})$   
 $initialState = \{crossing\}$

$$possibleUpdates(s, i) = \begin{cases} \{(none, absent)\} & if s = crossing \\ \{(none, absent), (waiting, present),\} & \wedge i(sigG) = present \\ \{(crossing, absent)\} & if s = none \\ \{(s, absent)\} & if s = waiting \\ & \wedge i(sigR) = present \\ & otherwise \end{cases}$$

## Uses of nondeterminism

1. Modelling unknown aspects of the environment or system
    - Such as: how the environment changes a robot's orientation
  2. Hiding detail in a specification of the system
    - If specification for traffic light only specified light order ( $R \rightarrow G \rightarrow Y \rightarrow R$ ) and not time in each colour
- Any other reasons why nondeterministic FSMs might be preferred over deterministic FSMs?

## Size Matters

- Non-deterministic FSMs are more compact than deterministic FSMs
  - A classic result in automata theory shows that a nondeterministic FSM has a related deterministic FSM that is equivalent in a technical sense (language equivalence, covered in Chapter 13).
  - But the deterministic machine has, in the worst case, many more states (exponential in the number of states of the nondeterministic machine, see Appendix B).

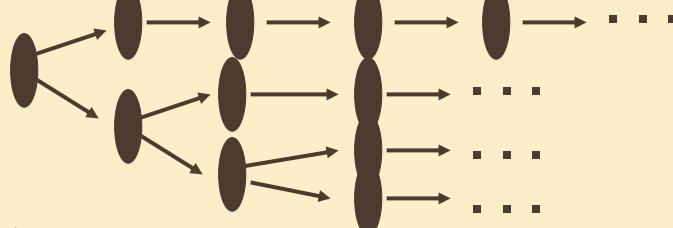
## Non-deterministic Behaviour: Tree of Computations

- For a fixed input sequence:
- A deterministic system exhibits a single behaviour
- A non-deterministic system exhibits a set of behaviours
  - visualized as a computation tree

Deterministic FSM behavior:



Non-deterministic FSM behavior:



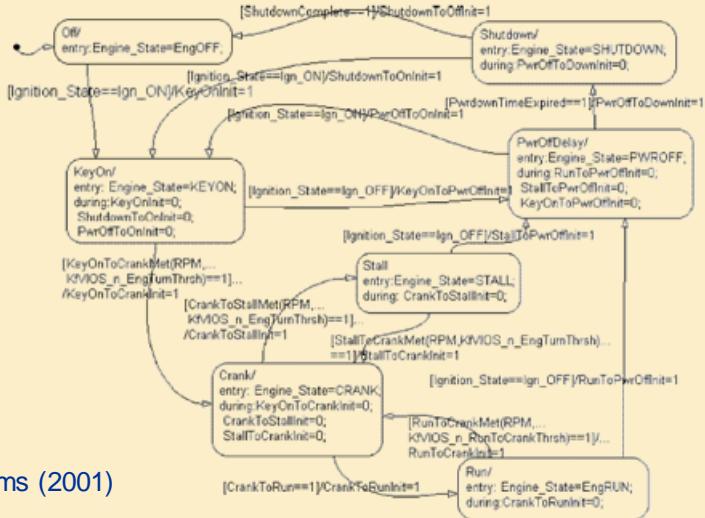
## Related points

- What does receptiveness mean for non-deterministic state machines?
- Non-deterministic  $\neq$  Probabilistic

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## Example from Industry: Engine Control

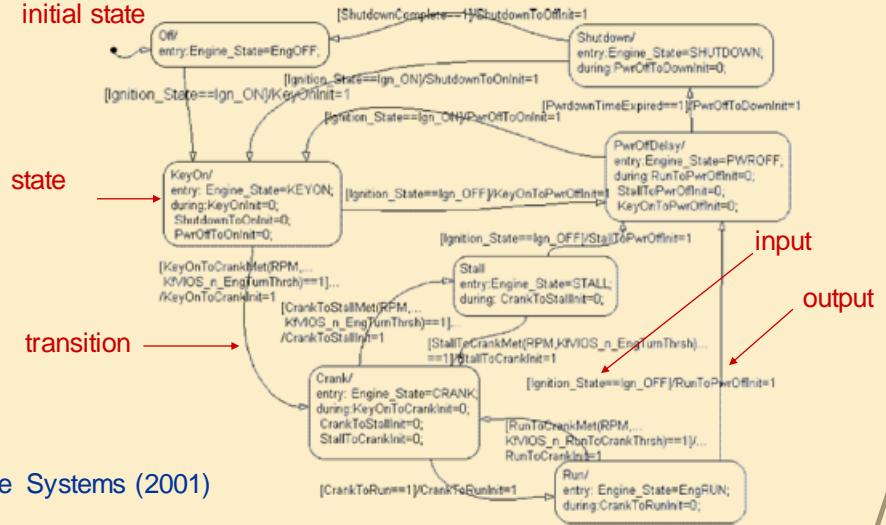
Source:  
Delphi Automotive Systems (2001)



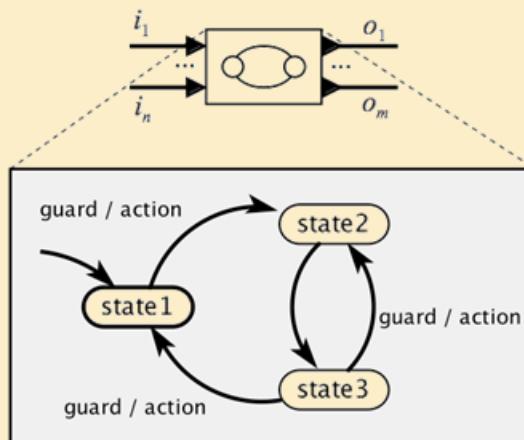
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## Elements of a Modal Model (FSM)

Source:  
Delphi Automotive Systems (2001)



## Actor Model of an FSM



This model enables **composition** of state machines.

## What we will be able to do with FSMs

FSMs provide:

- A way to represent the system for:
  - *Mathematical analysis*
  - *So that a computer program can manipulate it*
- A way to model the environment of a system.
- A way to represent what the system must do and must not do – its specification.
- A way to check whether the system satisfies its specification in its operating environment.

## Summary

- Discrete systems
- Finite State Machines
- Mathematical models
- Non-determinism
- Trees and behaviours